

ISIN CODE: LU0456967404



Luxembourg Microfinance
and Development Fund

CLASS C SHARES - SUBSCRIPTION FORM FOR PRIVATE INVESTORS

(If you are not a physical person please refer to LMDF's website www.lmdf.lu or contact us at +352 27 47 35 for further details)

Please complete this form and return an original to: LUXEMBOURG MICROFINANCE AND DEVELOPMENT FUND,
("LMDF") FAO Mme Ilona BIRO, 39 rue Glesener, L-1631 Luxembourg

Laws and regulations in force in Luxembourg to prevent money laundering and the financing of terrorism require that the identity of investors is verified. We kindly request that you provide the following information in accordance with these laws and regulations.

Personal details of main holder

Surname:	First Name:
Date of birth:	Place of birth:
Country of birth:	Nationality / Citizenship:
ID Card or Passport no.:	
Issued by (Authority):	
Date of issue:	Expiry date:
Email:	Phone number:
Residential address	
Street and house number:	Postal Code:
Town:	Country:
Mailing address (if different from residential address)	
Street and house number:	Postal Code:
Town:	Country:
US Foreign Account Tax Compliance Act ("FATCA") Self-Certification of the Main Holder (required in order to determine whether or not the account holder is resident in the USA for tax purposes)	
I am tax resident in the following Non-U.S. country/ jurisdiction and have the following tax identification number:	
- Country / jurisdiction:	
- Tax identification number:	
I am not resident in the United States of America	
I am not liable to pay taxes in the United States of America	

Financial background of the main holder

Professional status	Employee Student	Director / Management Self-employed	Other: Retired (If you are retired, please indicate below the information on your last position)		
Profession:	Job Title:	Business line / Field of activity:			
Company type:	Public administration Listed company / multinational	Small/Medium size company NGO / Foundation	Other:		
Name of employer and country:					
Politically exposed persons I hereby declare that I am or I have been entrusted with prominent public functions (or that I am closely connected to a politically exposed person)		Yes, please specify: No			
Best estimate of annual regular income:	≤EUR 50 000	EUR 75 000	EUR 100 000	EUR 150 000	≥ EUR 200 000
Best estimate of total assets:	≤EUR 100 000	EUR 250 000	EUR 500 000	EUR 1 100 000	≥ EUR 5 000 000
Source of wealth:	Savings / Professional occupation Sale of business / house	Real Estate Inheritance	Investments / insurance policy Other:		



Details of investment

Private individuals may subscribe to Class B shares on a quarterly basis. Please refer to the Prospectus of the Fund for details. Fractions of shares may be issued.

Luxembourg Microfinance and Development Fund Class C Shares ISIN: LU0456967404

Subscription amount (EUR): OR Number of shares:

Bank account details

Please note that the payment of the subscription amount is due, in Euros, within five business days following the publication of the respective net asset value. Please note that the amount must be transferred from the account in the name of the investor with the account details provided below. The Fund's transfer agent will send you the Fund's account details for payment. Proceeds from redeemed shares will by default be transferred to the below account in the name of the investor. Payment of the subscribed amount will be made from the following bank account:

Name of your bank: BIC code:

IBAN number:

I authorise Investing for Development SICAV - Luxembourg Microfinance and Development Fund to send instructions to my bank to debit my account directly in order to execute the subscription. The rights relating to such authority are detailed in the contractual relations with my bank. **This option is only available for bank accounts in Luxembourg.**

Declarations and signature

The application can only be considered if **one of the two boxes below is ticked**

I am investing on my behalf and I am the ultimate economic beneficiary of the Fund Shares to be registered in my name; OR

I am investing on behalf of a third party who is the ultimate economic beneficiary of the Fund shares.
Please complete Annex A if you are investing on behalf of a third party

The undersigned certifies that:

- I am aware of the terms and conditions of the Prospectus of the Fund I invest in, including but not limited to the fact that it is not registered under the United States Securities Act of 1933 nor under any other law or regulation governing the United States securities industry and investment.
- In this context confirm that I am not a «US person», «US taxable person» or «Specified US Person» as defined in the Prospectus and that my investment (present or future), registered in my name and for my account, is not for or on behalf of any US Person. I am not liable to pay taxes to the US Internal Revenues Service («IRS»).
- I understand that my investment may not be accepted if the Fund or its service providers find that my application violates the terms of the Prospectus or of this declaration. I confirm that I am over 18 years of age and that subscription of the Fund does not constitute a violation of applicable laws and regulations.
- I agree to receive communication by electronic methods such as facsimile or email. I acknowledge that these are not secure forms of communication which may accordingly give rise to higher risk of manipulation or attempted fraud, for which the Fund or its service providers shall have no liability.
- That the Fund, acting as data controller, and / or EFA, acting as a data processor, shall process the information in accordance with the provisions of the law of 2 August 2002 on the protection of individuals with regard to the processing of personal data, as amended, (the "2002 Law") and according to the Regulation (EU) of the European Parliament and the Council of April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of data ("GDPR") as such laws may be amended or repealed from time to time; that, according to the 2002 Law. I / we have a right of access, to rectification, to erasure, of restriction of processing, to object and automated individual decision-making, to data portability of the Information by contacting EFA at the email address mentioned on the front page of this document. The Information is kept in accordance with Luxembourg prescription rules applicable to the data controller. The Information is not kept beyond what is needed in order to comply with the Common Reporting Standard law of 18 December 2015 and the FATCA law of 24 July 2015. Documentation and personal data with are used to demonstrate orderly data processing will be stored in accordance with Luxembourg legal retention periods. Account holder' personal data are then erased if and when permitted by the Law of 2002 and GDPR or any other applicable laws.
- The undersigned takes note of the fact that EFA may request additional information regarding the aforementioned declarations.
- By signing this document, I / we declare that I am / we are aware of the tax obligations related to the holding of shares / units of the funds in which I / we invest by the present to the relevant tax authorities.
- I / We declare to know I am / we are required to comply with all tax obligations with regards to the reference and / or the competent authorities. I declare / declare that we comply with Luxembourg legal requirements, particularly those resulting from the Law of 23 December 2016 and CSSF circular 17/650 on the fight against money laundering and the financing of terrorism.

Please attach to this Subscription Form the following documents (for each of the Co-Investors):

- A copy of your Passport or official identity card duly certified by a public authority such as a notary commune or other authority.

Signature of the investor	Signature of the Co-Investor (if applicable)
Place and date	
Signature:	Signature:

Personal details of the additional holder

Only complete this form if there is an additional holder

Type of account number (if not specified the account will be either/or)	Joint (signatures of all holders are required) Either / or (main or joint holder are able to sign separately)
Surname:	First Name:
Date of birth:	Place of birth:
Country of birth:	Nationality / Citizenship:
ID Card or Passport no.:	
Issued by (Authority):	
Date of issue:	Expiry date:
Email:	Phone number:
Residential address	
Street and house number:	Postal Code:
Town:	Country:
Mailing address (if different from residential address)	
Street and house number:	Postal Code:
Town:	Country:
US Foreign Account Tax Compliance Act («FATCA»)	
Self-Certification of the additional holder (required in order to determine whether or not the account holder is resident in the USA for tax purposes)	
I am tax resident in the following Non-U.S. country/ jurisdiction and have the following tax identification number:	
- Country / jurisdiction:	
- Tax identification number:	
I am not resident in the United States of America	
I am not liable to pay taxes in the United States of America	

Financial background of the additional holder

Professional status	Employee Student	Director / Management Self-employed	Other: Retired (If you are retired, please indicate below the information on your last position)		
Profession:	Job Title:	Business line / Field of activity:			
Executed within a:	Public administration Listed company / multinational	Small/Medium size company NGO / Foundation	Other:		
Name of employer and country:					
Politically exposed persons		Yes, please specify:			
I hereby declare that I am or I have been entrusted with prominent public functions (or to be closely connected to a politically exposed person)		No			
Best estimate of annual regular income:	≤EUR 50 000	EUR 75 000	EUR 100 000	EUR 150 000	≥ EUR 200 000
Best estimate of total assets:	≤EUR 100 000	EUR 250 000	EUR 500 000	EUR 1 100 000	≥ EUR 5 000 000
Source of wealth:	Savings / Professional occupation Sale of business / house	Real Estate Inheritance	Investments / insurance policy Other:		



Common Reporting Standard («CRS»)

International Exchange of Fiscal Information - Common Reporting Standard («CRS») - Declaration of Tax Residence

Investor Self-Certification is required in order to determine the tax residence(s) of the Account Holder, the Beneficial Owner or the Controlling Person for tax purposes. Please note that you may choose more than one country. Provision of the Tax Identification Number (TIN) is required unless you are tax resident in a country / jurisdiction that does not issue a TIN.

The undersigned main holder declares: I am tax resident in the following country/ jurisdiction and have the following tax identification number:

- Country / jurisdiction: _____ - Tax identification number: _____
If applicable, please specify the reason for non-availability of a TIN:

The undersigned additional holder (if applicable) declares: I am tax resident in the following country/ jurisdiction and have the following tax identification number:

- Country / jurisdiction: _____ - Tax identification number: _____
If applicable, please specify the reason for non-availability of a TIN:

The undersigned declares:

- To have full legal capacity;
- To have examined and understood the information on this form, filled out this form to the best of my knowledge and believe it is true, correct and complete;
- To examine the official documents of each investment fund before investing and accept and comply with any defined conditions related to such investments;
- To hereby authorise the fund or his/her authorized representative (the «Fund»), and/or EFA in its role of transfer agent or as an authorized delegate («EFA»), to the extent required under the applicable Luxembourg laws (the Common Reporting Standard law of 18 December 2015 and the FATCA law of 24 July 2015), to report in the time and manner described by the applicable laws to the tax authorities of the Grand Duchy of Luxembourg or his/her authorized representative, the following information (the «Information»):
my last name, first name, date and place of birth, tax identification number, country or countries of tax residence and residence address(es); my register account number; the name of the fund; the account value as of the end of the relevant calendar year or, if the account was closed during such year or period, the closure of the account or the value of the account immediately before its closure, according to the terms of the applicable law; the total gross amount paid or credited to my account during the calendar year including the aggregate amount of any redemption payments made to me; all other information required by applicable laws.
- I acknowledge that I/we have been informed that the tax authorities of the Grand Duchy of Luxembourg or his/her authorized representative will automatically pass the aforementioned information on to the relevant Participating Jurisdiction Tax Authority(-ies) and to the U.S. Secretary of the Treasury or his/her delegate, according to the terms of the applicable law.
- That I hereby authorise the Fund and/or EFA to disclose the Information to the governing body of the Fund, to the Fund's management company/AIFM/Auditors/Fiscal representatives/Sponsoring entity and/or to the Fund's paying agent if so required for the good administration of my shareholding in the Fund;
- That the Fund, acting as data controller, and / or EFA, acting as a data processor, shall process the information in accordance with the provisions of the law of 2 August 2002 on the protection of individuals with regard to the processing of personal data, as amended, (the "2002 Law") and according to the Regulation (EU) of the European Parliament and the Council of April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of data ("GDPR") as such laws may be amended or repealed from time to time; that, according to the 2002 Law I / we have a right of access, to rectification, to erasure, of restriction of processing, to object and automated individual decision-making, to data portability of the Information by contacting EFA at the email address mentioned on the front page of this document. The Information is kept in accordance with Luxembourg prescription rules applicable to the data controller. The Information is not kept beyond what is needed in order to comply with the Common Reporting Standard law of 18 December 2015 and the FATCA law of 24 July 2015. Documentation and personal data which are used to demonstrate orderly data processing will be stored in accordance with Luxembourg legal retention periods. Account holder's personal data are then erased if and when permitted by the Law of 2002 and GDPR or any other applicable laws.
- That I agree that I will submit a new valid form to EFA within 30 days, if any declaration/certification on this form has changed.
- That I hereby agree that the present information form is subject to Luxembourg law and to the exclusive jurisdiction of the courts of the judicial district of the City of Luxembourg, Grand-Duchy of Luxembourg.
- The undersigned understands that the Fund and / or EFA may ask to provide documents attesting to the veracity of the declarations above.
- I acknowledge that I may refuse to communicate part of the Information to the Fund and/or to EFA, thereby precluding the Fund or EFA from establishing computer records and from using the Information. However, such refusal or preclusion shall be an obstacle to the entry into relationship between the Fund and the Account Holder and such Account Holder may be subject to liability for penalties imposed on the Fund and/or EFA and attributable to such Account Holder's failure to provide the Information or to disclosure of the Information by the Fund and/or EFA to the Luxembourg tax authorities under the terms of the applicable law.
- By signing this document, I / we declare that I am / we are aware of the tax obligations related to the holding of shares / units of the funds in which I / we invest by the present to the relevant tax authorities.
- I / We declare to know I am / we are required to comply with all tax obligations with regards to the reference and / or the competent authorities. I declare / declare that we comply with Luxembourg legal requirements, particularly those resulting from the Law of 23 December 2016 and CSSF circular 17/650 on the fight against money laundering and the financing of terrorism.

Name:	Name:
Date and Place:	Date and Place:
Signature of the main holder	Signature of the additional holder

